

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: December 21, 2005 Name: Barbara A. LaBarge

Signature:

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Mark Spellman

Appln. No.: 10/789,599

Filed: February 27, 2004

For: MULTIPLE TUNERS IN A SINGLE RADIO RECEIVER

Attorney Docket No: 11336/602 (P04021US)

Examiner: Not Known

Art Unit: 2681

MAIL STOP AMENDMENT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Information Disclosure Statement (2 pgs.), PTO Form 1449 (1 pg.), three (3) cited references, and European Search Report (3 pgs.).
- ☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,

DEC 21, 2005  
Date

Sanders N. Hillis (Reg. No. 45,712)

Certificate Under 37 CFR 1.8(b)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450

on December 21, 2005

Barbara A. LaBarge

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Mark Spellman

Appln. No.: 10/789,599

Filed: February 27, 2004

For: MULTIPLE TUNERS IN A  
SINGLE RADIO RECEIVER

Attorney Docket No: 11336/602 (P04021US)

Examiner: Unknown

Art Unit: 2681

Confirmation No. 9045

## INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicant hereby cites the reference(s) listed below and on the enclosed Form PTO-1449. A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. The below listed references were cited in a European Search Report, a copy of the European Search Report is also enclosed. Applicant respectfully requests the Examiner's consideration of the reference(s) and entry thereof into the record of this application.

Patent No.	Issue Date	Name	Class	Filing Date
5,471,659	11/28/1995	Wong et al.	455/132	07/26/1993
5,661,811	08/26/1997	Huemann et al.	381/25	08/25/1994
5,870,402	02/09/1999	Kelley et al.	370/497	12/12/1996
2003-053638	03/20/2003	Yasuhara	381/86	09/13/2002
2003-091069	05/15/2003	Kraemer et al.	370/487	11/15/2001

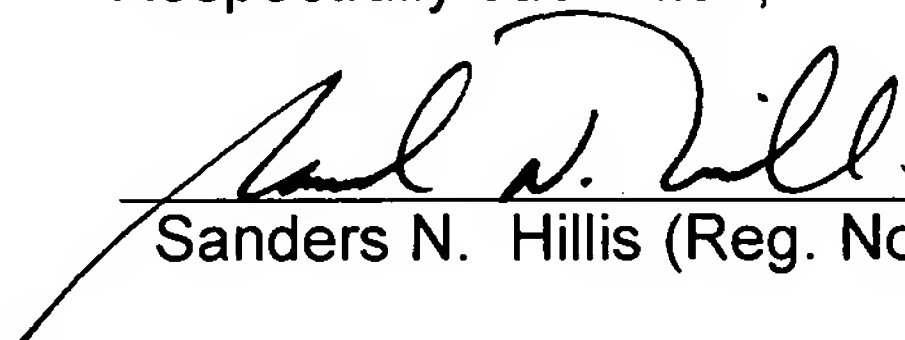
	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
A1	EP 1 265 368 A	12/11/2002	Europe		Yes
A2	EP 0 584 839 A	03/02/1994	Europe		Abstract
A3	EP 0 443 436 A	08/28/1991	Europe		Abstract

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). In addition, each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Further, information contained herein was first cited in a communication from a foreign patent office in a counterpart application that was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. Applicant has calculated no fee to be due upon filing this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the accompanying Transmittal.

Respectfully submitted,

Dec 21, 2005  
Date

  
Sanders N. Hillis (Reg. No. 45,712)

**CUSTOMER NO. 27879**  
(317) 636-0886



FORM PTO-1449	SERIAL NO. 10/789,599	CASE NO. 11336/602 (P04021US)
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE February 27, 2004	GROUP ART UNIT 2681
(use several sheets if necessary)	APPLICANT(S): Mark Spellman	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,471,659	11/28/1995	Wong et al.	455/132	07/26/1993
	A2	5,661,811	08/26/1997	Huemann et al.	381/25	08/25/1994
	A3	5,870,402	02/09/1999	Kelley et al.	370/497	12/12/1996
	A4	2003-053638	03/20/2003	Yasuhara	381/86	09/13/2002
	A5	2003-091069	05/15/2003	Kraemer et al.	370/487	11/15/2001
	A6					
	A7					
	A8					
	A9					
	A10					
	A11					
	A12					
	A13					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14	EP 1 265 368 A	12/11/2002	Europe		YES
	A15	EP 0 584 839 A	03/02/1994	Europe		Abstract
	A16	EP 0 443 436 A	08/28/1991	Europe		Abstract
	A17					
	A18					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.